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Express Mail No.: EL 501 641 432 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: ROBERT W. D'LOREN

Application No.: 09/851,895

Group Art Unit: 2165

Filed: May 9, 2001

Examiner:

For: SYSTEM AND METHOD
FOR WHOLE COMPANY
SECURITIZATION OF
INTANGIBLE ASSETS

Attorney Docket No.: 10477-0003-999

Response to Notice to File Missing Parts of Nonprovisional Application

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


This responds to the Notice to File Missing Parts of Nonprovisional Application dated July 6, 2001, a copy of which is attached.

Enclosed are an executed Declaration for Non-provisional Application, an executed Power of Attorney, and formal drawings.

In accordance with the Notice, the fee due from Applicant for filing the enclosed items is \$130.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date September 6, 2001

 35,402
Steven I. Wallach (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



SEP 06 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/851,895 | 05/09/2001 | Robert W. D'Loren | 10477-003-999 |

CONFIRMATION NO. 4312

FORMALITIES LETTER



OC000000006264575

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

Date Mailed: 07/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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